



MEMBERSHIP ANNUAL DECLARATIONS AND UNDERTAKINGS

Affiliate membership categories

I hereby declare that the statements made in this application are complete and true and I am not aware of anything which will make me ineligible at the time of applying for Affiliate membership with the FPA.

In completing this current year's affiliate subscription renewal and paying the applicable affiliate subscription fee I acknowledge and agree that:

My affiliation with the FPA is at the FPA's discretion and my affiliation may be revoked at any time without notice.

I have read and consent to the terms of the FPA's Statement of Privacy available at <https://fpa.com.au/about/privacy>

I consent to the FPA disclosing the fact that I hold affiliation to the FPA to any person (whether upon request or by publication) notwithstanding that such information may comprise personal information or sensitive information within the meaning of those terms under the Privacy Act 1988 (Cth) as amended.

I declare that:

I remain a fit and proper person to hold FPA affiliation in that:

- a. I have never been refused membership or expelled from membership of a statutory, professional or other body in any professional capacity, in any jurisdiction;
 - b. I have never been the subject of disciplinary proceedings within any other professional body or FPA;
 - c. I have never been the subject of a hearing to determine whether a disciplinary order to ban me from practising should be made under the Corporations Act (2001) Cth or pursuant to any other state or Commonwealth legislation;
 - d. I have not been the subject of a successful claim in relation to professional indemnity insurance;
 - e. I have never been refused professional indemnity insurance cover;
 - f. I have never been dismissed by any Australian Financial Services Licensee because of misconduct or breach of the law;
 - g. My ability to act as an authorised representative of an Australian Financial Services Licensee has never been withdrawn, or restricted because of misconduct or breach of the law;
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- h. I have never had a business-related license, registration or membership revoked, varied, restricted, denied or suspended;
 - i. I have never been in receipt of a Notice from ASIC pursuant to sections 19, 30 or 33 of the Australian Securities and Investments Commission Act 2001 (Cth) (ASIC Act) and the notice relates to an investigation into the my conduct;
 - j. I have never given a written undertaking to the Australian Securities and Investments Commission pursuant to section 93AA of the ASIC Act;
 - k. I have never breached an undertaking referred to in the preceding paragraph;
 - l. I have never become insolvent under Administration;
 - m. I have never been the director of a body corporate that has become an Externally Administered Body Corporate;
 - n. I have not been found guilty of any breach of the law punishable by imprisonment;
 - o. I have not become a defendant or respondent in any criminal, civil or regulatory proceeding or investigation;
 - p. I have not been dismissed as a representative or had my authorisation as an Authorised Representative revoked by an Australian Financial Services licensee for any act or omission in breach of the FPA Code, or for any conduct which could amount to a breach of the FPA Code;
 - q. I have not been the subject of any adverse findings by my employer relating to misconduct
 - r. I have disclosed to the [FPA all Notifiable Events](#) that have occurred during my membership to date; and
 - s. I will disclose to the FPA any future Notifiable Events within 7 days of its occurrence.

[The Member and Affiliate Regulation 2020](#) and these Terms and Conditions may be accessed on the FPA website. If you cannot access the Member and Affiliate Regulation (2020) or any of these links in this document, or would like to discuss any other aspect of the terms and conditions then please contact FPA Member Services team on 1300 337 301 or email to fpa@fpa.com.au.
