



MEMBERSHIP ANNUAL DECLARATIONS AND UNDERTAKINGS

CFP® Professional, Financial Planner AFP® and Associate membership categories

By applying for membership renewal I agree that:

- a. I am not aware of any finding, conduct or event, which would affect my fitness to hold FPA membership, or my eligibility to hold membership in the CFP® Professional/Financial Planner AFP® and Associate subcategory;
 - b. I have disclosed in this form or previously to the FPA all matters and all current contact details necessary for the FPA to maintain any public Register of members;
 - c. I am a fit and proper person to be a member of the FPA;
 - d. I am in good standing with my Australian Financial Services Licensee (where relevant); and
 - e. I undertake to the Board:
 - i. to comply with the [Constitution](#) of the FPA including any regulations made by the Board from time to time, including the:
 1. [Member and Affiliate Regulation \(2020\)](#) (as amended by the Board of FPA from time to time);
 2. [FPA Disciplinary Regulation \(2019\)](#) (as amended by the Board of FPA from time to time), including without limitation the disciplinary proceedings for members;
 3. [Regulation 02/04](#)- use of the FPA brand by members, including without limitation of the use of CERTIFIED FINANCIAL PLANNER®, CFP®, Financial Planner AFP® trademarks and associated logos;
 4. [FPA Code of Professional Practice](#) (FPA Code) as amended from time to time;
 5. [FPA Continuing Professional Development Policy](#) as amended from time to time;
 - ii. to remain a fit and proper person;
 - iii. to notify the FPA within 28 days of the effective date of:
 1. any change of address, email, telephone and other contact details;
 2. any change of employment details or change of status as a Financial Advice Representative of an Australian Financial Services Licensee;
 3. any other finding, conduct or event, which would affect my fitness to hold FPA membership, to belong to a certain sub-category (as the case may be), or be necessary for the FPA to maintain any public Register;
 - iv. to provide notice in writing to the FPA within seven (7) days of the occurrence of any [Notifiable Event](#); and
 - v. to submit to any complaints and disciplinary investigation, and compliance reviews if required by the FPA pursuant to clauses 18 or 19 of the FPA Constitution.
 - f) I have read and consent to the terms of the FPA's Statement of Privacy available at www.fpa.com.au/privacy
 - i. I consent to the FPA disclosing the fact that I hold membership to any person (whether upon request or by publication) notwithstanding that such information may compromise
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personal information or sensitive information within the meaning of those terms under the *Privacy Act 1988* (Cth) as amended.

- ii. Subject to the Constitution of the FPA, I consent to the FPA disclosing details of my membership and conduct to any professional and regulatory bodies

I make these declarations and undertakings to the Board in full knowledge and acceptance that should I knowingly make a false declaration, or breach my undertaking to the Board I commit a breach of the [Member and Affiliate Regulation \(2020\)](#) and the principle of integrity in the FPA Code of Professional Practice and may be subject to disciplinary proceedings under the Disciplinary Regulation.

The Member and Affiliate Regulation (2020) and these Terms and Conditions may be accessed on the FPA website. If you cannot access the [Member and Affiliate Regulation \(2020\)](#) or any of these links in this document, or would like to discuss any other aspect of the terms and conditions then please contact FPA Member Services team on 1300 337 301 or email to membership@fpa.com.au.

Please note that the FPA Constitution, the Code of Professional Practice, the Continuing Development Policy, schedule of Notifiable Events and all FPA Regulations referred to in this document are available for review on the FPA website.
