

FPA Annual Investigations Report

The tables below represent the statistics with respect to complaints received and investigations undertaken by the FPA (one of the FAAA's predecessor associations) during the financial year from **1 July 2022 to 30 June 2023**.

Investigation Status	2022/23 FY
Open Investigations as at 1 July 2022	6
New investigations commenced between 1 July 2022 & 30 June 2023	4
Investigations closed	7
Open Investigations as at 30 June 2023	3

FAAA (formerly FPA) Professional Code Standard	No of conduct reports received	No conduct and investigations closed
1) Client first	1	
2) Integrity		1
3) Objectivity	-	-
4) Fairness	1	3
5) Knowledge and Skills	-	-
6) CPD	-	-
7) Professional Behaviour	1	2
8) Competence	1	1
9) Diligence		
10) Confidentiality and Data Protection		
total	4	7

Action Taken by the FPA

The below table records the action taken by the FPA against FPA members between **1 July 2022 and 30 June 2023** as a result of resolved investigations.

This includes conduct reports received during the 2022/23 financial year as well as investigations that were open as at 30 June 2022.

Action Type	2022/23 FY
Cancellation of membership	2
Suspension of membership	0
Reprimanded	2
No action required following investigation	3
Total	7
Matters reported to ASIC¹	3

¹ Includes matters relating to non FPA members
